

# **Curriculum Vitae**

**Murray Petrie**

## CURRICULUM VITAE: MURRAY PETRIE

### PERSONAL DETAILS

**Address:** Apartment 3.08,  
1 Queens Wharf,  
Wellington 6011,  
New Zealand.

**Email:** mpetrie@esg.co.nz

**Date of Birth:** 24 December 1956

**Nationality:** New Zealand

**Educational Qualifications:** B.A. in Economics and Political Science 1975-77  
M.A. Honours (First Class) in Economics, 1978  
(University of Canterbury, New Zealand)  
Master of Public Administration (Harvard), 1990-91  
PhD in Public Policy, Victoria University of Wellington,  
2005-2009.

**Special Expertise:** Public financial management  
Fiscal transparency and public participation  
Management of fiscal risks  
Public investment management  
Public sector reform and performance management  
Public policy analysis  
International policy cooperation

**Attributes/Experience:** Extensive and practical international experience as a  
government official, international civil servant,  
consultant, and civil society representative.  
High quality oral and written communication skills.  
Demonstrated capacity to work well with people from  
diverse backgrounds and at senior levels.

**International Experience:** Work in a wide range of developing, emerging and  
developed countries.

**Professional associations:** Lead Technical Advisor, The Global Initiative for  
Fiscal Transparency.  
Member of the Panel of Fiscal Experts of the  
International Monetary Fund (since 1998).  
Director, Economics and Strategy Group Ltd.  
Senior Associate, Institute of Governance and  
Policy Studies, Victoria University of Wellington.  
Founding member, Transparency International  
New Zealand.

## CONSULTING RECORD

### From 1997 principal international assignments have included:

- On-going work for the IMF helping to draft the Fund's 1998 Code of Good Practices on Fiscal Transparency, co-writing the original and first revision of the IMF Manual on Fiscal Transparency, participating in Fiscal ROSC missions assessing countries against the Fiscal Transparency Code, giving presentations and training sessions on the Code, evaluating experience in implementing the Code, evaluating the initial impacts of the Code on financial markets and civil society, and working on the 2014 revision to the Code.
- Work for the IMF and the World Bank on the management of fiscal risks, including developing a framework for assessing fiscal vulnerability; advising on the management of contingent liabilities and PPPs; advising on the development of good practice guidelines for the management of fiscal risks (input to the preparation of a Board paper, available at <http://www.imf.org/external/np/sec/pn/2008/pn0873.htm>); assessing fiscal risk management in individual countries; work on fiscal risks from natural disasters; and giving presentations and lectures on the management of fiscal risks.
- Lead Technical Advisor for the multi-stakeholder Global Initiative for Fiscal Transparency (GIFT), 2011-current: [www.fiscaltransparency.net](http://www.fiscaltransparency.net) Drafting of the GIFT High Level Principles on Fiscal Transparency, Participation and Accountability that were endorsed by the UN General Assembly in December 2012; preparation of reports for GIFT: a technical mapping and review of existing standards and norms on fiscal transparency; an analysis of a new global normative architecture; principles of public participation in fiscal policy; a paper reviewing incentives for expanding fiscal transparency; and papers for the GIFT- Open Government Partnership Fiscal Openness Working Group analyzing the implementation of fiscal transparency commitments by OGP countries. Reports, presentations and High-Level Principles are available on the GIFT web site at [www.fiscaltransparency.net](http://www.fiscaltransparency.net)
- Contributions to a program of work for the World Bank on improving the efficiency of Public Investment Management (PIM). Inputs included developing a performance assessment framework for PIM; completing a chapter synthesizing the results from two dozen country case studies of PIM systems; assessing the quality of public investment management in Bangladesh, Sierra Leone, and Timor Leste; and giving presentations on cross-country experiences in PIM.
- Participation in World Bank and IMF technical assistance missions providing advice on issues in budget preparation.

### Current projects include:

- As Lead Technical Advisor to the Global Initiative for Fiscal Transparency, completion of a work program that includes contributing to the development of a Guide on Public Participation in Fiscal Policy; coordinating contributions to the development of a multi-stakeholder toolkit on budget transparency; assessing the degree of convergence of international standards and norms on fiscal transparency; and providing inputs to the GIFT- Open Government Partnership *Fiscal Openness Working Group* to support reforms in OGP member countries.
- Drafting a paper for the OECD for a series of country studies on the management of disaster-related contingent liabilities.
- Lead consultant for the World Bank's Public Financial Management Analytical and Advisory Assistance Program 2016-2020 to Vietnam.

Recent projects include:

- Drafting a guidance note for the World Bank on the interlinkages between overall fiscal risk management and the management of fiscal risks from disasters.
- An IMF mission to Tanzania on the management of natural resource revenues.
- Advising the World Bank on the development of results chains and performance indicators for measuring the success of its PFM reform projects.
- An assignment for the IMF advising the East African Community on the design of a fiscal surveillance framework in the run up to the introduction of the East African Monetary Union in 2024, including issues of reporting of fiscal risks, fiscal transparency, and compliance and enforcement.

**Prior to 2009 principal consultancies in New Zealand as a Director of the Economics and Strategy Group Ltd. included:**

- Public financial management and public sector governance, including assignments for the Treasury and the State Services Commission on financial management, expenditure analysis, performance management, public sector reform, and the strategic management system.
- Social policy analysis and evaluation, including assignments for the Ministry of Social Development on a variety of social policy issues.

**A schedule of consulting assignments since 1997, organized by topic, appears from page 7.**

## EMPLOYMENT RECORD

**From 1996 to July 97**

**Position:** Manager, Policy Development Unit.

**Employer:** The New Zealand Treasury.

**Description of Duties:**

Responsible for development of new policy initiatives on public sector management across the state sector (core government departments and crown entities, and State-owned enterprises), including contributing to the further enhancement of budget processes; crown risk management; and review of effects of previous reforms.

**From 1994 to 1996**

**Position:** Adviser to Executive Director.

**Employer:** International Monetary Fund.

**Description of Duties:**

Representing the interests of countries in the Australia and NZ constituency, analyzing Board papers, and preparing and delivering interventions in the Executive Board, focusing on the framework used by the Fund in its advice on fiscal policy; the crisis financing role of the IMF following the 1994 Mexico crisis; governance and management of the IMF; and representing the interests of NZ, Mongolia, and some of the Pacific Island countries. Served on the Board's Budget and Pension Committees, and participated in missions to member countries in the constituency.

**From 1993 to 1994**

**Position:** Manager, Child Welfare and Education Section (part time).

**Employer:** NZ Treasury.

**Description of Duties:**

Responsible for policy development in compulsory and pre-school education, and family welfare policies, including assessment of new budget bids in these sectors.

**From 1991 to 1993**

**Position:** Manager, Social Welfare Section.

**Employer:** NZ Treasury.

**Description of Duties:**

Responsible for policy advice on old age pensions and the benefit system, and public sector management issues in the social welfare sector during a period of major reform (see publications, under Public sector reform and performance management).

**From 1989 to 1990**

**Position:** Manager, Personal and Indirect Tax Section.

**Employer:** NZ Treasury.

**Description of Duties:**

Policy advice on personal income taxes, the integration of the tax and benefit systems, value added and excise taxes, and issues of optimal tax mix.

**From 1988 to 1989**

**Position:** Senior Analyst, Policy Coordination and Development Section.

**Employer:** NZ Treasury.

**Description of Duties:**

Completed a project on the role of government in providing old age pensions (see publications list, Public policy analysis), and worked on specific regulatory reforms.

**From 1985 to 1987**

**Position:** Second Secretary (Economic), NZ High Commission, London.

**Employer:** NZ Ministry of Foreign Affairs.

**Description of Duties:**

Responsible for handling bilateral and multilateral trade policy issues arising in NZ's economic relations with the EC, with a focus on NZ's trade with the UK in dairy products and sheep meat.

**From 1984 to 1985**

**Position:** Second Secretary, Economic Division, Wellington.

**Employer:** NZ Ministry of Foreign Affairs.

**Description of Duties:**

Policy advice on international civil aviation, and economic relations with developing countries. The latter included reconciling foreign policy objectives and domestic industry de-regulation objectives (e.g. removal of import monopolies for sugar and bananas).

**From 1981 to 1984.**

**Position:** Third/Second Secretary, NZ High Commission, Western Samoa.

**Employer:** NZ Ministry of Foreign Affairs.

**Description of Duties:**

Responsible for administration of aid projects and personnel, and aid policy analysis.

**From 1980 to 1981.**

**Position:** Project Officer, External Aid Division, Wellington.

**Employer:** NZ Ministry of Foreign Affairs.

**Description of Duties:**

Administration of aid projects, personnel, and consultants in South East Asia.

**From January to December 1979.**

**Position:** Provincial Financial Advisor, Oro Province, Papua New Guinea.

**Employer:** Department of Business Development (NZ Volunteer Service Abroad).

**Description of Duties:**

Accounting and financial advice to the Provincial Government and small businessmen.

## Major Consultancy Areas 1997-present

This is organized by field of specialization:

- 1 Public Financial Management (pp. 7-9).
- 2 Fiscal transparency, public participation and accountability (pp. 10-15).
- 3 Management of fiscal risks (pp. 15-17).
- 4 Public investment management (pp. 17-18).
- 5 Public sector reform and performance management (pp. 18-21).
- 6 Public policy analysis (pp. 21-23).
- 7 International policy cooperation (pp. 24-25).

Publications, papers and presentations appear at the end of each section.

### 1 PUBLIC FINANCIAL MANAGEMENT

#### a) International

*Kyrgyzstan Financial Management Reform* July and December 1997  
Retained by Government (Ministry of Finance) as member of the Government Steering Group for implementation of financial management reform programme under World Bank assistance.

*Macedonia Public Expenditure Management* October 1997  
Participation on IMF Technical Assistance mission advising the authorities on improvements to public expenditure management.

*Vietnam Public Expenditure Review* January 2000  
Participation in joint World Bank/IMF mission conducting a Public Expenditure Review, including an assessment of institutional capability for fiscal management.

*Timor Fiscal Management Reform* May 2000  
Participation on IMF mission advising the interim Timorese administration on the design and implementation of new budget and fiscal management processes.

*Samoa Financial Management* April 2001  
Participation in IMF mission to assess fiscal management practices in Samoa against the IMF Code, and to advise on the design and sequencing of financial management reforms.

*Financial Management in Micronesia* July 2001  
Participation in IMF mission assessing fiscal management in FSM against the IMF Code, and advising on the design and sequencing of financial management reforms.

*Fiji Financial Management Reform* January - February 2002  
Participation in IMF mission assessing fiscal management in Fiji against the IMF Code, and advising on the design and sequencing of financial management reforms.

*Indonesia Public Expenditure Management* October 2002  
Participation in an IMF public expenditure management mission to Jakarta. Responsible for drafting sections on budget preparation, and restructuring the Ministry of Finance.

*Indonesia Public Expenditure Management* September 2003  
Participation in an IMF public expenditure management mission, responsible for drafting sections

on budget preparation, and for presenting a seminar on fiscal transparency.

*Budget Unification and Program Budgeting* June and December 2006  
Provision of technical assistance to the Ministry of Finance in Afghanistan on how to unify the development and recurrent budgets, and introduce program-oriented budgeting.

*Evaluation of Cambodia Financial Management Reform* February - March 2007  
Member of the External Advisory Panel evaluating the PFMRP project in the Ministry of Economy and Finance for external donors and the Royal Cambodian Government.

*Evaluating public financial management at the sectoral level* June 2008  
Development of a framework for the World Bank on evaluating the quality of financial management at the sectoral level.

*Swaziland Ministry of Finance Strengthening* March 2009  
Participation in IMF mission to assess the mandate, functioning, and structure of the Ministry of Finance, and the legal and institutional framework for financial management in Swaziland, and to recommend changes to strengthen the ministry's capacity for effective and efficient management of the public finances.

*Syria PFM Reform* October 2009  
Participation in World Bank mission to develop proposals for TA to the Ministry of Finance in PFM reform, including presentation of seminars on performance-oriented budgeting and the role of the budget department of the ministry of finance.

*Syria PFM Reform* January 2010  
Participation in joint World Bank/IMF assessment mission to identify PFM reforms, including budget integration, oversight of SOEs, and performance-oriented budgeting.

*Evaluation of IMF Technical Assistance* March-May 2011  
Participation in an evaluation of IMF TA financed by the Japanese government, with responsibility for the TA provided by the Fiscal Affairs Department. Involved meetings with IMF staff in Washington, review of documents, and discussions with the authorities and TA experts in Cambodia and Nepal.

*Bangladesh Medium-Term Budget Framework Review* 2011-2014  
Participation in World Bank project implementation support missions to Bangladesh reviewing progress in implementing the Medium-Term Budget Framework. Missions in August 2011, June 2012, February 2013, July 2013 and February 2014.

*Bangladesh PFM Reform Review* 2015-2016  
Contribution to ECORYS project implementation, providing quality assurance review of consultant inputs during one-year project extension.

## **b) New Zealand**

*NZ Ministry of Foreign Affairs Output Pricing Review* September 1997  
Advice to the Ministry on issues arising in an output pricing review.

*Amendment to the Public Finance Act* November - December 1997  
Advice to the NZ Treasury on the need to amend the Public Finance Act to clarify the



accountability requirements for the purchase of outputs from non-departmental suppliers.

*Output Class Definition* May - June 2002  
Advice to the Ministry of Social Development on options for redefining the Ministry's output classes, in an effort to better balance Ministerial and Parliamentary accountability with management flexibility. Assignment completed with David Webber.

*Guidance on Preparing Output Plans* September - October 2002  
Drafting for the NZ Treasury of a Guideline for Departments on the preparation of annual Output Plans specifying the outputs to be delivered by departments.

*Best Practices in Specifying Outputs* November - December 2002  
Preparation for the NZ Treasury of best practice examples of the specification of the quantity, quality, timeliness, and cost of outputs.

*Improving Public Expenditure Analysis* June 2004  
Advice to the Treasury on improving the processes and analytical approaches used by departments in developing, and by the Treasury in reviewing, new spending proposals.

*Comments on Primer on Cost Benefit Analysis* June 2005  
Review for the Treasury of a draft Primer on Cost Benefit Analysis aimed at public sector policy and financial analysts with no previous financial or economic analysis experience.

*Review of 2007 Budget Initiatives Performance Specification* July 2007  
A review for the New Zealand Treasury of the quality of performance specification in new spending proposals submitted by Departments for the 2007 Budget. The review was completed with Alex Matheson, ESG Senior Associate.

### **Publications, papers and presentations.**

*The Contribution of Accrual Accounting in New Zealand's Public Sector Reforms*, presentation to a World Bank seminar on public sector accounting, Washington DC, March 1998.

*Presentations on Fiscal Management*: lectures at the IMF Institute in Singapore to officials from member countries in the Asia/Pacific region on various topics in fiscal and financial management, October 1999.

*Review of Evidence on Broad Outcome of Public Sector Management Regime*, with David Webber, New Zealand Treasury Working Paper 01/06, 2001.

*A Framework for Public Sector Performance Contracting*, The OECD Journal on Budgeting, Volume 1, No.3, 2002.

*Managing Public Money*, Presentation to the Commonwealth Advanced Seminar 2006, Victoria University of Wellington, 24 February 2006.

*Presentations on performance-oriented budgeting and the role of the budget office*: seminars to staff from the ministry of finance and other agencies, Damascus, 21 October 2009.

*Climate Public Expenditure and Institutional Reviews: Mainstreaming Climate Change in the National Budget*. Presentation to World Bank and UNDP Workshop on CPEIRs: Past Experience and the Way Forward. Bangkok, 10-12 September, 2012.

*Output Budgeting in New Zealand.* Presentation to Vietnamese officials at World Bank workshop, Hanoi, 3 March, 2016.

## **2 FISCAL TRANSPARENCY, PUBLIC PARTICIPATION AND ACCOUNTABILITY**

*IMF - Development of Fiscal Transparency Framework* January - March, May - July 1998  
Work for the Fiscal Affairs Department of the IMF in Washington developing *de novo* a Code of Good Practices on Fiscal Transparency. Subsequent assignment with the Fund involved co-drafting the first Manual on Fiscal Transparency.

*Vietnam Fiscal Transparency* September 1998  
Participation in IMF mission assessing fiscal management in Vietnam against the IMF's Code of Good Practices on Fiscal Transparency.

*Presentation on Fiscal Transparency* December 1998  
Presentation to finance officials from Brazil and throughout Latin America on the IMF's Code of Good Practices on Fiscal Transparency, at a seminar in Brazil organized by the IMF.

*Papua New Guinea Fiscal Transparency* March 2000  
Participation in IMF mission to assess fiscal management in PNG against the IMF Code. Report (ROSC) is posted on the IMF's web site ([www.imf.org/external/np/rosc/](http://www.imf.org/external/np/rosc/))

*IMF – Revision of Fiscal Transparency Manual* June - August 2000  
Assignment with the Fiscal Affairs Department revising the draft Fiscal Transparency Manual in light of experience to date in implementing the Code.

*IMF - Revision of Fiscal Transparency Manual* December 2000 - January 2001  
Assignment with the Fiscal Affairs Department to complete the revision of the Fiscal Transparency Manual.

*Japan Fiscal Transparency* April 2001  
Participation in IMF mission to assess fiscal management practices in Japan against the IMF Code. Report (ROSC) is posted on the IMF's web site ([www.imf.org/external/np/rosc/](http://www.imf.org/external/np/rosc/))

*IMF Evaluation of Fiscal Transparency Initiative* September - October 2001  
Evaluation of experience to date in completing assessments of fiscal management practices in member countries against the IMF Code, as input to a paper to the Executive Board. Board paper "Assessing and Promoting Fiscal Transparency: A Report on Progress", March 5, 2003, available at [www.imf.org/external/np/pdr/sac/2003/030503s2.htm](http://www.imf.org/external/np/pdr/sac/2003/030503s2.htm)

*South Africa Fiscal Transparency* March - April 2002  
Participation in IMF mission assessing fiscal management in South Africa against the IMF Code, and drafting of a Report on the Observance of Standards and Codes.

*Fiji Fiscal Transparency* November 2002  
Participation in IMF mission assessing fiscal transparency and management in Fiji against the IMF Code. Report (ROSC) is at ([www.imf.org/external/np/rosc/](http://www.imf.org/external/np/rosc/))

*Civil Society Budget Transparency and Participation Workshop* December 2002  
Participation in an international NGO workshop organised by the Center on Budget Policies and Priorities in Washington DC to set out a strategy and methodology for advancing international cooperative efforts to increase budget transparency.

*Fiscal Transparency Evaluation* January - April 2003  
Completion of a project for the IMF in Washington assessing the extent to which sovereign rating agencies and civil society groups are utilising the information in fiscal ROSCs. The project involved designing and administering electronic surveys, interviews in Washington and New York, presentations at the IMF, and drafting an IMF Working Paper, [Promoting Fiscal Transparency: The Complementary Roles of the IMF, Financial Markets and Civil Society](#) IMF Working Paper 03/199.

*Samoa Fiscal Transparency* November 2003  
Participation in IMF mission to assess fiscal management practices in Samoa against the IMF Code. Delivery of seminar to officials on the Code, and an assessment of Samoa against the Code.

*Croatia Fiscal Transparency* May 2004  
Participation in IMF mission to assess fiscal management practices in Croatia against the IMF Code. Report (ROSC) is at ([www.imf.org/external/np/rosoc/](http://www.imf.org/external/np/rosoc/))

*Bulgaria Fiscal Transparency* March - April 2005  
Participation in IMF mission to assess fiscal management practices in Bulgaria against the IMF Code, and to prepare a formal Report on the Observance of Standards and Codes. Report (ROSC) is at ([www.imf.org/external/np/rosoc/](http://www.imf.org/external/np/rosoc/))

*Thailand Fiscal Transparency* November 2008  
Participation in IMF mission to assess fiscal management practices in Thailand against the IMF Code, and to prepare a Report on the Observance of Standards and Codes. Report (ROSC) is at ([www.imf.org/external/np/rosoc/](http://www.imf.org/external/np/rosoc/))

*Switzerland Fiscal Transparency* January 2009  
Participation in IMF mission to assess fiscal management practices in Switzerland against the IMF Code, and to prepare a Report on the Observance of Standards and Codes. Report (ROSC) is at ([www.imf.org/external/np/rosoc/](http://www.imf.org/external/np/rosoc/))

*Support for revision of IMF Fiscal Transparency Code* 2013  
Assignment with the Fiscal Affairs Department to advise on revising the Fiscal Transparency Code following the Global Financial Crisis, and drafting material for a new Fiscal Transparency Manual.

*Philippines Fiscal Transparency* February 2014  
Participation in IMF mission to assess fiscal management practices in the Philippines against the new IMF Fiscal Transparency Code in a pilot exercise.

*Tanzania Resource Revenue Management* January 2015  
Participation in IMF mission to advise on transparency and management of natural resource revenues.

### **Publications, papers and presentations.**

*Elements of the IMF's Code of Good Practices on Fiscal Transparency,*

presentation to Vietnamese officials, Hanoi, September 1998.

*The Detailed Requirements of the IMF's Code of Good Practices on Fiscal Transparency*, presentation to Ministry of Finance Officials from Latin America, Ouro Preto, Brazil, December 1998.

*Vietnam: Toward Fiscal Transparency*, a joint report of IMF and the World Bank, by W. Allan, F. Sarraf, and M. Petrie (IMF), and K. Matin, N. Van Minh, R. Bird, and R. Xavier (World Bank), June 1999.

*The IMF Fiscal Transparency Code: A Potentially Powerful New Anti-Corruption Tool*, paper presented at a Workshop chaired by the author on *Public Sector Financial Transparency and Accountability: The emerging Global Architecture and Case Studies*, The 9<sup>th</sup> International Anti-Corruption Conference, Durban, October 1999.

*The IMF Code of Good Practices on Fiscal Transparency*, presentation to Papua New Guinea Government Officials, Port Moresby, 16/3/2000.

*Transparency and Accountability in New Zealand: An Assessment*, Public Sector, Vol.24, No.1, March 2001.

*Fiscal Transparency: How Does NZ Rate Against International Best Practice?* Presentation to IIR Conference on Central Government Finance, Wellington, 10 June, 2002.

*Taxation, Transparency and the Common Good*, Finance and the Common Good, Number 12, Autumn 2002, pp. 69-75.

*Fiscal Transparency and Civil Society: Complementary Roles*. Presentation to a seminar at the IMF/World Bank Spring Meetings, Washington D.C., 10 April 2003.

*What the Private Sector Thinks of Fiscal ROSCs*. Presentation of a seminar at the IMF, Washington D.C., 14 April, 2003.

[Promoting Fiscal Transparency The Complementary Roles of the IMF, Financial Markets and Civil Society](#)  
IMF Working Paper 03/199.

*Fiscal Transparency and Credibility: Issues in Indonesia*, with Jim Brumby. Presentation to the Minister of Finance and officials from the Ministry of Finance and other ministries, Jakarta, 9/9/03.

*Fiscal Transparency: Issues in Samoa*. Presentation to officials from the Ministry of Finance and other Ministries, Apia, 27/11/03.

*Open Budget Questionnaire on New Zealand 2006*  
<http://internationalbudget.org/what-we-do/open-budget-survey/country-info/?country=nz>

*Fiscal Transparency*, presentation to a Good Governance – Good Government Symposium for the Commonwealth Advanced Seminar, organized by Victoria University of Wellington, February 2007.

*Open Budget Questionnaire on New Zealand 2008*  
<http://internationalbudget.org/what-we-do/open-budget-survey/country-info/?country=nz>

*Open Budget Questionnaire on New Zealand 2010*  
<http://internationalbudget.org/what-we-do/open-budget-survey/country-info/?country=nz>

*Producing a Citizens' Guide to the Budget: Why, What and How?* Jon Shields and Murray Petrie. OECD Journal on Budgeting, Vol. 2010/2, pp. 75-87.

*Defining the Technical Content of Global Norms: Synthesis and Analytic Review*, Phase 1 Report for the Advancing Global Norms Working Group, Global Initiative on Fiscal Transparency (GIFT), December 2011. See <http://fiscaltransparency.net/working-groups/advancing-global-norms/>

*Defining the Technical Content of Global Norms: Towards a New Global Architecture*, Phase 2 Report for the Advancing Global Norms Working Group, Global Initiative on Fiscal Transparency (GIFT), December 2011. See <http://fiscaltransparency.net/working-groups/advancing-global-norms/>

*Defining the Technical Content of Global Norms: Synthesis and Analytic Review*. Presentation to GIFT Norms and Incentives Working Group Meeting, Washington DC, December 13, 2011.

*Open Budget Questionnaire on New Zealand 2012*.  
<http://internationalbudget.org/what-we-do/open-budget-survey/country-info/>

*A New Set of High-Level Principles on Fiscal Transparency, Accountability and Participation*. Presentation to GIFT Norms and Incentives Working Group Meeting, Washington DC, December 13, 2011. Principles were subsequently endorsed by the UN General Assembly in December 2012. See <http://fiscaltransparency.net/>

*Towards Global Norms on Public Participation in Fiscal Policy*. Presentation to GIFT Norms and Incentives Working Group Meeting, Brasilia, 20 April, 2012.

*The GIFT High Level Principles on Fiscal Transparency, Participation and Accountability*. Presentation to ICGFM Conference, Washington DC, 10 December, 2012.

*Strengthening Incentives for Fiscal Transparency Through GIFT*. Presentation to ICGFM Conference, Washington DC, 11 December, 2012.

*Strengthening Incentives for Fiscal Transparency Through GIFT*. Presentation to GIFT Stewards, Washington DC, 11 December, 2012.

*Fiscal Transparency in New Zealand*. Presentations as part of the TINZ National Integrity Study of NZ. Victoria University of Wellington, March 13 and May 8, 2012.

*Towards Stronger Incentives for Increased Fiscal Transparency, Participation and Accountability*. Discussion paper prepared for GIFT Lead Stewards, 2 December 2012. Available at <http://fiscaltransparency.net/2013/09/towards-stronger-incentives-for-increased-fiscal-transparency-participation-and-accountability/>

World Bank PREM Note, September, 2013, No. 4, 'The Current State of Fiscal Transparency: Norms, Assessment, and Country Practices', available at <http://siteresources.worldbank.org/PUBLICSECTORANDGOVERNANCE/Resources/285741-1361973400317/GPSMSpecialSeries4.pdf>

*The GIFT High Level Principles on Fiscal Transparency, Participation and Accountability.* Seminar on Transparency, Accountability and the Revision of the PEFA Framework: Recent developments in internationally recognized good practice in fiscal transparency and accountability. European Commission, Brussels, 24 October 2013.

*Background Paper for GIFT-OGP Fiscal Openness Working Group*, prepared for the first meeting of the FOWG, London, November 2013. Available at <http://fiscaltransparency.net/wp-content/uploads/2013/11/OGP-GIFT-FOWG-London-Workshop-Background-Paper.pdf>

*Fiscal Transparency in Open Government Partnership Member Countries.* Presentation to the OGP-GIFT Fiscal Openness Working Group, London Workshop, 2 November 2013.

*Fiscal Transparency in OGP Countries and the Implementation of OGP Commitments: An Analysis.* Presentation to the Fiscal Openness Working Group meeting in Bali, 6 May 2014. Available at: <http://fiscaltransparency.net/2014/05/ogp-gift-fowg-bali-session-slide-decks-and-background-paper/>

*Fiscal Transparency in OGP Countries and the Implementation of OGP Commitments: An Analysis.* Background Paper prepared for the Fiscal Openness Working Group meeting in Bali, 6 May, and Dublin, 9 May, 2014. Available at: <http://fiscaltransparency.net/2014/05/ogp-gift-fowg-bali-session-slide-decks-and-background-paper/>

*The Hidden Corners of Public Finance: A Synthesis of Country Case Studies that Look Beyond the Core Budget.* Murray Petrie, Paolo de Renzio, & Sam Moon. International Budget Partnership. IBP Policy Papers, June 2014. - <http://internationalbudget.org/publications/hidden-corners/>

*Study on the Design of a Fiscal Surveillance Program.* Presentations given to officials from East African Community partner states in Bujumbura, Burundi (4 July, 2014) and Arusha, Tanzania (25 July).

*Study on the Design of a Fiscal Surveillance Program.* Final Report, 2 August 2014, for the East African Community and the IMF.

*Fiscal Transparency in OGP Countries and the Implementation of OGP Commitments: An Analysis.* Background Paper prepared for the Fiscal Openness Working Group meeting in Costa Rica, November 2014.

*Transparency, Participation, and Accountability: A Comment on Carothers and Brechenmacher.* Murray Petrie and Sanjeev Khagram. Posted 27 January, 2015. <http://fiscaltransparency.net/2015/01/transparency-participation-and-accountability-a-comment-on-carothers-and-brechenmacher/>

*Comments on the IMF's draft Pillar IV of the Fiscal Transparency Code on Resource Revenue Management.* Presentation to GIFT-IMF Seminar, International Budget Partnership, Washington DC, 21 April, 2015. Copy of GIFT comments available at <http://fiscaltransparency.net/2015/03/comments-on-the-draft-resource-revenue-management-pillar-of-the-imfs-fiscal-transparency-code/>

*Fiscal Transparency in OGP Countries and the Implementation of OGP Commitments: An*

*Analysis.* Background Paper prepared for the Fiscal Openness Working Group meeting in Dar es Salaam, May 2015.

*Public Participation in Fiscal Policy:* presentation to an IBP/GIFT/National Treasury Workshop, Cape Town, 7 May, 2015.

*Public Participation in Fiscal Policy:* presentation to a World Bank Brown Bag Lunch, Washington DC, 9 July, 2015.

*Public Participation in Fiscal Policy:* presentations at OGP Summit 28 October, 2015, and at GIFT-OGP Fiscal Openness Working Group meetings in Manila, 18 September, 2015, and Mexico City, 29-30 October, 2015.

*An international initiative on fiscal transparency, participation and accountability.* Presentation to GIFT-OGP Fiscal Openness Working Group, Mexico City, 29 October, 2015.

*Principles of Public Participation in Fiscal Policy:* presentation to GIFT Stewards, Washington DC, December 1-2, 2015.

*Information to strengthen management and oversight of the State Budget.* Presentation to the Vietnam National Assembly Committee for Financial and Budgetary Affairs Workshop Ho Chi Minh City, June 6, 2016.

*Public Participation in Fiscal Policy: Principles, Mechanisms and Country Practices.* Presentation to meeting of the OECD Senior Budget Officers network for Central and South Eastern Europe, Ljubljana, 29 June, 2016.

### **3 MANAGEMENT OF FISCAL RISKS**

*IMF - Development of Fiscal Vulnerability Framework* June - July 1999  
Work for the IMF developing a framework for the assessment of the vulnerability of a country's fiscal position. See publications list.

*World Bank – Framework for Managing Fiscal Risks* 1999  
Commissioned by the World Bank to write a chapter on a framework for the management of fiscal risks, for inclusion in a book on fiscal risks. See publications list.

*The Management of Government Guarantees* September - October 2004  
Drafting a paper for the Board of the IMF on best practice guidelines on designing, accounting and reporting, budgeting for, and managing government guarantees and other contingent liabilities, especially guarantees in the context of Public Private Partnerships.

*Managing Contingent Liabilities* October - November 2005  
Preparation of training course materials for Vietnamese officials on the analysis, design, accounting for, and management of government guarantees and other contingent liabilities.

*Fiscal Risk and the Public Balance Sheet* May 2007  
Participation for the IMF in an APEC Future Economic Leaders Seminar, Sydney, April 2007 (see Presentations).

*The Management of Fiscal Risks in Indonesia* February 2007  
Participation in an IMF mission to Indonesia to provide advice on the management of fiscal risks.

*Good Practice Guidelines for Managing Fiscal Risks* October 2007 - May 2008  
Input to a report prepared by IMF staff on the development of good practice guidelines. The report was discussed by the IMF Board in June 2008, and is now available on the IMF's web site at <http://www.imf.org/external/np/sec/pn/2008/pn0873.htm>

*Lectures on Managing Fiscal Risks* June 2008  
Lectures at the IMF Institute in Singapore to officials from the Asian region on the management of fiscal risks, and on fiscal transparency.

*The Management of Fiscal Risks in Thailand* July 2008  
Participation in an IMF staff technical assistance mission.

*The Management of Fiscal Risks in Switzerland* January 2009  
Participation in IMF mission, with lead responsibility for advising on fiscal risk management practices in Switzerland.

*The Management of Fiscal Risks in Malaysia* January 2013  
Participation in IMF mission to identify and quantify fiscal risks, and to advise on fiscal risk management.

*Fiscal risk reporting in the East African Community* April – July 2014  
Missions to the East African Community partner states, and to the EAC Secretariat in Arusha, to develop a surveillance mechanism for fiscal policy in the EAC following signature of the Monetary Union protocol. This includes advice on fiscal risk reporting in the EAC, and delivering presentations on fiscal risk reporting at an East AFRITAC workshop on the same topic in Entebbe, Uganda in April 2014 (see presentations below).

#### **Publications, papers and presentations.**

*A Framework for Assessing Fiscal Vulnerability*, with Richard Hemming, IMF Working Paper WP/00/52, March 2000. Reprinted in "Government at Risk", H. Polackova Bixi and A. Schick (eds), The World Bank and Oxford University Press, 2002 (Chapter 7).

*Accounting and Financial Accountability to Capture Risk*, in "Government at Risk", H. Polackova Bixi and A. Schick (eds), The World Bank and Oxford University Press, 2002 (Chapter 2).

*Public-Private Partnerships, Government Guarantees, and Fiscal Risk*, R. Hemming, M. Alier, B. Anderson, and M. Petrie, International Monetary Fund, Washington DC, 2006.

*Fiscal Risk and the Public Balance Sheet*, presentation to the APEC Future Economic Leaders Seminar, Sydney, April 2007.

*Managing Fiscal Risks*, presentation given to officials of the Ministry of Finance and other agencies, Bangkok, July 2008.

*Controlling Fiscal Risks*, presentation to the 2008 East West Center/Korea Development Institute Conference: Sustainability and Efficiency in Managing Public Expenditures. Honolulu, Hawaii, 24-25 July 2008.



*Fiscal Risk Management: New Zealand Country Case Study*, unpublished background paper for International Monetary Fund, 2008, Fiscal Risks – Sources, Disclosure, and Management, August 2008.

*Fiscal Risks: Sources, Disclosure, and Management*. A. Cebotari, J. Davis, L. Lusinyan, A. Mati, P. Mauro, M. Petrie, and R. Velloso. International Monetary Fund: Fiscal Affairs Department. 2009.

*Controlling Fiscal Risks*, in “Sustainability and Efficiency in Managing Public Expenditures”, Edited by R. Hemming, J-H Kim, S-H Lee, Korea Development Institute, Seoul, 2012 (Chapter 12).

*Fiscal Risks: Disclosure, Measurement, Mitigation and Management*, Presentation to South African Treasury Officials as part of IMF mission, Pretoria, 13 March, 2012.

*Managing and Controlling Fiscal Risks*, with Nina Budina, in *Public Financial Management and Its Emerging Architecture*, Chapter 5, pp. 175-204, International Monetary Fund, Washington DC, 2013.

*Fiscal Risk Disclosure, Mitigation and Management*, Presentations to Malaysian Officials as part of IMF mission, Kuala Lumpur, 29 January, 2013.

*Managing Fiscal Risk*, in *The International Handbook of Public Financial Management*. Chapter 28, pp. 590-618. Palgrave Macmillan, London, 2013.

*The Challenges of Managing Fiscal Risks*, Presentation to IMF/Japan Asian Regional Seminar on Reforming the Management and Accountability of Public Finances, Tokyo, 21 – 22 October 2013.

*Fiscal risk reporting in the East African Community*. Presentations at an East AFRITAC workshop in Entebbe, Uganda in April 2014 on the management and reporting of fiscal risks from SOEs; on risks from PPPs and guarantees; and on preparing a Fiscal Risk Statement.

*The Design of an EAC Fiscal Surveillance Program*. Presentations at a first Workshop in Bujumbura, Burundi on 4 July 2014, and at a second Workshop at the East African Community, Arusha, Tanzania on 25 July 2014.

*Linkages between fiscal risks and fiscal risks from disasters* 2016  
Drafting a guidance note for the World Bank on the interlinkages between overall fiscal risk management and the management of fiscal risks from disasters.

#### **4 PUBLIC INVESTMENT MANAGEMENT**

*Timor Leste Public Investment Management* November 2009  
Participation in World Bank mission to pilot an evaluation of the public investment management system against a new assessment framework being developed by the World Bank.

*Sierra Leone Public Investment Management* March 2010  
Advice to the authorities on strengthening the legal framework for PIM, based in part on an evaluation of the public investment management system against a new assessment framework being developed by the World Bank.

*Bangladesh Public Investment Management* April/May 2011

Leading a mission to assess the public investment management system against the World Bank's framework.

*Timor Leste Public Investment Management*

June 2011

Participation in a mission to assess the public investment management system against the World Bank's framework.

**Publications, papers and presentations.**

*Public Investment Management in East Timor*, presentation with Habib Rab at the PREM Learning Week, World Bank, Washington DC, 5 May 2010. Case study available on request from the World Bank.

*Public Investment Management: Synthesis of Cross-Cutting Issues*. Hanoi International Conference Promoting Public Investment Efficiency. World Bank/KDI, Hanoi, September 7-8, 2010.

*Public Investment Management in Sierra Leone*. Presentation with Addison, Talati, Foday and Petrie. Hanoi International Conference Promoting Public Investment Efficiency. World Bank/KDI, Hanoi, September 7-8, 2010. Case study available on request from the World Bank.

*Public Investment Management: The Characteristics of a Good PIM System; and Selected Cross-Country Findings*. Presentations to senior officials in NEDA, DBM and Line Ministries, Manila, 28 September, 2010.

*Public Investment Management: The Characteristics of a Good PIM System; and Selected Cross-Country Findings*. Presentations to Members of Congress and Congressional staff, Manila, 29 September, 2010.

*Public Investment Management: The Characteristics of a Good PIM System; and findings with respect to Bangladesh*. Presentations to officials from the Planning Commission and other ministries, Dhaka, 2 and 11 May, 2011.

*Timor-Leste: Public Investment Management from Post-Conflict Reconstruction to the 2011-2020 Strategic Development Plan*. Habib Rab and Murray Petrie. World Bank, 2011.

*The Quality of Public Investment Management in Bangladesh*. World Bank. Draft Report, June 2011. Murray Petrie and Mohammad Mesbahuddin. Available from: <http://www.spemp.com/documents.php?Page=Documents>

*Public Investment Management: Synthesis of Global Experiences and Lessons Learned*. High-Level Regional Conference on Improving the Efficiency of Public Investment Management, Government of Bangladesh and Strengthening Public Expenditure Management, Dhaka, September 14-15, 2011.

*Investing to Invest: A Drill-Down PEFA-style Benchmarking Tool for Public Investment Management*. Brown Bag Presentation at the World Bank, Washington DC, with Kai Kaiser, Senior Economist, PREM, 13 December, 2011.

*Country Experiences of Public Investment Management*, with Anand Rajaram. Chapter 3, in 'The Power of Public Investment: Transforming Resources into Assets for Growth' by A. Rajaram, T. Le, K. Kaiser, J. Kim, and J. Frank. World Bank, Washington DC, 2014.

*Public Investment Management: The Linkages to Fiscal Risks and Transparency.*  
KDI-IMF-World Bank Conference on Strengthening the Management of Public Investment: Korean and International Experiences. Seoul, October 31, 2014.

## **5 PUBLIC SECTOR REFORM AND PERFORMANCE MANAGEMENT**

*Case Study on Organisational Reform* March - May 1998  
In-depth case study on the management reforms in the Income Support Service of the NZ Department of Social Welfare, commissioned by the Department. See publications list.

*OECD Public Sector Contracting Framework* 1998  
Work for the OECD developing a conceptual framework for performance contracting in the public sector. See publications list.

*Case Study on the Strengthening Families Initiative* 1998 - 1999  
Completion for the NZ Department of Social Welfare of a case study on the design, funding and delivery of services to families experiencing multiple disadvantage.

*Indonesia Public Sector Reform* August-September 1999  
Preparation of programme for economic reform with the Ministry of Finance, including two-day seminar in Jakarta, and subsequent completion of report identifying TA in fiscal management and transparency reforms that could be funded under NZODA.

*Public Sector Reform Evaluation* December 1999  
An assessment for the NZ Treasury with David Webber of the evidence on the effects of NZ's public sector management reforms. Published as a Treasury Working Paper, see publications list.

*Advice on Crown Entity Reform* April 2000  
Advice to the NZ State Services Commission on governance issues in the crown entity sector.

*Acting Senior Manager, Ministry of Social Policy* May - June 2001  
Part time Acting Senior Manager, Strategic Policy. Main task was to manage the completion of the first NZ Social Report, a set of cross-sectoral indicators of well-being.

*Public Sector Management Reform Evaluation* July - October 2001  
A detailed review for the SSC and Treasury of the CAP, a pilot programme for reforming strategic planning and budgeting in NZ government departments, including the integration of outcome objectives into the output framework for departments, and on the accountability implications. Project completed with David Webber.

*Non-structural Tools for Improving Performance* July 2002  
Preparation with David Webber of a report for the NZ State Services Commission reviewing the international literature on non-structural tools for improving inter-agency coordination (e.g. policy networks, performance contracting, community-government partnerships).

*Best Practice in Improving Performance of "Arms- Length" Entities* December 2002  
Input to a report prepared by David Webber for the NZ State Services Commission reviewing international literature on the management by central agencies/holding companies of the

performance of line departments or subsidiary companies.

*Evaluation of Managing for Outcomes* 2003

An independent evaluation for the interdepartmental Managing for Outcomes Steering Group, conducted with David Webber. Published August 2003, ISBN 0-478-24436-3. Available at the Managing for Outcomes web-site: <http://www.ssc.govt.nz/display/document.asp?navid=208>

*Risk Management in the Ministry of Foreign Affairs* December 2004 and March 2005  
Comments on Ministry's draft risk management framework, presentation on risk management in the NZ public sector, and facilitation of brainstorming session on risk management in MFAT.

*Influencing Policy Outcomes* August - September 2005  
Advice to a policy ministry on the main levers available to influence policy outcomes, based on an international literature review and discussions with selected NZ officials and academics.

*Ministry of Economic Development Evaluation* June 2008  
Assessing institutional arrangements for managing research and evaluation functions in a range of NZ government departments and preparation of a report for the Ministry of Economic Development. Review completed with David Webber, Director of *ESG Ltd*.

### **Publications, papers and presentations.**

*Organisational Transformation: The Income Support Experience*, Case Study, Department of Social Welfare, Wellington, 1998.

*Organisational Transformation in the Public Sector*, paper presented to the New Zealand Strategic Management Society Educators Conference, February 1999. Winner of the Pearson Education Award for the most publishable paper, and subsequently published in "NZ Strategic Management", Volume 4 Number 1, Winter 1999.

*Strategic Social Policy Initiatives: From Welfare to Well-Being and Strengthening Families*, Case Study, Ministry of Social Policy, New Zealand.

*Performance Contracting in the Public Sector*, NZ Strategic Management, 2001.

*Review of Evidence on Broad Outcome of Public Sector Management Regime*, with David Webber, New Zealand Treasury Working Paper 01/06, 2001.

*Output-Based Aid: Precedents, Promises, and Challenges*, with Penelope Brook, Introductory chapter of a book "Contracting for public services: output-based aid and its applications", World Bank, 2001.

*A Framework for Public Sector Performance Contracting*, The OECD Journal on Budgeting, Volume 1, No.3, 2002.

*National Integrity Systems Country Study Report New Zealand 2003*, with John Henderson and Shane Cave.

*Good and Honest Public Institutions: A Comprehensive Assessment of Risks to the Quality of Governance in New Zealand*, IPANZ Seminar, presentation with John Henderson and Shane Cave

(co-authors), Wellington, 11/2/04.

*Risk Management: An Overview*. Presentation to Ministry of Foreign Affairs officials, Wellington, 3/3/05.

*Influencing Policy Outcomes*. Presentation to Ministry of Women's Affairs' officials, Wellington, 12/9/05.

*Building and Maintaining Trust in Public Institutions: is this possible?* In 'Future-Proofing the State: Managing Risks, Responding to Crises and Building Resilience'. Edited by Jonathan Boston, John Wanna, Vic Lipski and Justin Pritchard. ANU Press and Australia and New Zealand School of Government. May 2014. Available at <http://press.anu.edu.au/titles/australia-and-new-zealand-school-of-government-anzsog-2/future-proofing-the-state/>

*Performance-Informed Budgeting: Some Lessons from OECD Countries*. Presentation to South African Treasury Officials, Pretoria, 14 March, 2012.

*New Zealand National Integrity System Assessment 2013*. Co-Director and contributing author. An assessment of transparency and accountability in New Zealand. Published in December 2013. Transparency International New Zealand . Available at <http://www.transparency.org.nz/docs/2013/Integrity-Plus-2013-New-Zealand-National-Integrity-System-Assessment.pdf>

*New Zealand National Integrity System Assessment 2013: Policy Implications*. NZ Treasury Guest Lecture, with Suzanne Snively and Liz Brown, at the Treasury, Wellington. March 14, 2014.

*Developing New Zealand's Open Government Partnership National Action Plan*: <http://www.transparency.org.nz/Transparency-Times-April-2014#developing-new-zealands-national-action-plan-murray-petrie-for-transparency-times>

## **6 PUBLIC POLICY ANALYSIS**

*Research Strategy on Children's Outcomes* October 1999  
Work for the NZ Ministry of Education on the development of a research strategy on improving the life outcomes of children. Report completed in collaboration with Dr. Jan Wright.

*Review of Social Policy Strategy* November 1999  
Comments on draft papers prepared by the NZ Ministry of Social Policy as briefing for the incoming government.

*Papers on Social Capital* May and November 2000  
Completion of two papers for the Ministry of Social Policy on the concept of social capital, and assessment of its relevance to public policy in NZ.

*Management Services, Ministry of Social Policy* September - October 2000  
Short-term assignment in a managerial role in the NZ Ministry of Social Policy.

*NZ Treasury's Inclusive Economy Project* November 2000 - May 2001

Consultant to the Inclusive Economy project. Included co-drafting background papers on social capability and aggregate production.

*Inclusive Economy Theme Papers* June - December 2001  
Work over the period on completing a draft NZ Treasury Working Paper on Institutions, Social Norms, and Well-being, and advising on research strategies and projects. (See Publications, Papers, and Presentations).

*Ethnicity and Social Cohesion* May - June 2002  
Preparation of a detailed project specification for the NZ Treasury on the linkages between ethnicity, values, and social cohesion.

*Strategic Housing Policy* June 2002  
Preparation of a report and presentation for the Ministry of Social Development discussing the rationales for government intervention in housing markets, the nature of desired housing outcomes, and identifying strategic housing policy issues in NZ.

*Social Policy Prioritization* July 2002  
A report for the NZ Treasury reviewing a range of approaches used to identify priorities for interventions across and within different social sectors. The approaches reviewed included academic/expert approaches and methods used by governments and international agencies.

*Population Issues Guide Scoping Study* May - June 2003.  
Completion with David Webber of a scoping study for the NZ Ministry of Social Development, the Department of Labour, and the Ministry of Economic Development, on the desirable scope and contents of a *Guide* for policy analysts and service delivery planners.

*Reducing Disparities* June 2003.  
Preparation of a report for the NZ Treasury reviewing the strategies of key social sector agencies to reduce disparities between Maori and Pacific peoples and the rest of the population, and suggesting a strategy and work programme for the Treasury on these issues.

*Business Case for Longitudinal Study of Children* August - December 2003  
Preparation for the Ministry of Social Development of a business case for the establishment of a new, national longitudinal study of children and young people in NZ. In addition, preparation of a Workshop Issues paper, and a paper on Governance and Management of Longitudinal Studies.

*Population Issues Guide* August 2003 - February 2004  
Preparation with David Webber of a *Population Issues Guide* intended to help ensure that high quality statistics and analysis of population issues are used effectively to inform both policy analysis and service planning in NZ. The *Guide* has been incorporated in a new government website, *The Population Website*, at [www.population.govt.nz](http://www.population.govt.nz)

*Ministry of Fisheries Strategic Review* February 2004  
Preparation for the NZ State Services Commission of advice on the strategic management of the Ministry of Fisheries. Presentation of a cross-country study of government interventions in promoting the economic development contribution of the fishing industry.

*Briefing for Families Commission* March - April 2004  
Preparation of briefing material for the incoming Families Commissioners on the NZ income support system and its impact on families.

*Research services for the Families Commission* Aug. 2004 – Feb. 2005  
The development of research project specifications and *Request for Expressions of Interest* and *Request for Proposals* documents, and of an outcomes hierarchy as an input to the development of a strategic plan, for the newly-established Families Commission.

*Population Ageing* May - June 2005  
A literature review prepared for the NZ Ministry of Social Development identifying the implications of an ageing population for families and family functioning. Published as a chapter in an Institute of Policy Studies book (see publications list).

*Policy Process Review* 2005 - 2006  
Assessing the processes used to produce policy advice, research, and evaluation outputs in the NZ Ministry of Social Development.

*Charging for Technical Assistance* August 2007  
A report for the IMF's Office of Technical Assistance Management on whether the Fund should charge its members for the technical assistance it provides to them, and analysis of possible charging options.

**Publications, papers and presentations.**

*Old Age Income Maintenance: Basic Problems and Alternative Responses*, with P. Sturm, OECD Working Paper No.100, April 1991.

*Social Capital: Vague Concept or Practical Policy Tool?*, Paper prepared for the New Zealand Ministry of Social Policy, December 2000.

*Social Capability and its Links to Aggregate Production*, with Nicholas Mays, unpublished draft New Zealand Treasury research paper, 5/12/2000.

*A Contribution to a Research Strategy on Children's Life Outcomes*, with Dr. Janice Wright, Social Policy Journal of New Zealand, Issue Sixteen, July 2001.

*Institutions, social norms and well-being*, Treasury Working Paper 02/12, June 2002. Presented to the Treasury's Social Policy Strategy Group in February, 2002.

*Strategic Housing Policy Issues in New Zealand*, Presentation given to the Ministry of Social Development, 28 June, 2002.

*A Longitudinal Study of New Zealand Children and Young People: Workshop Issues Paper*. Paper prepared for the Ministry of Social Development as the basis for a workshop for social scientists, researchers, and policy advisors from government and academia, held in Wellington on 25/9/03.

*Longitudinal Study of Children and Young People: Discussion Paper on Governance and Management*. Paper prepared for the Ministry of Social Development and presented to workshop, November 2003.

*Survey of the Role of Government and the Economic Development Contribution of Fisheries in Selected Countries*, Report prepared for the State Services Commission, and presented to an inter-departmental officials meeting on 23/2/04, Wellington.

*The Implications of Population Ageing for Families*, in “The Implications of Population Ageing: Opportunities and Risks”, Edited by Jonathan Boston and Judith Davey, Institute of Policy Studies, Victoria University of Wellington.

*Building and Maintaining Trust in Public Institutions*. Presentation to Australia New Zealand School of Government Conference, ‘Future Proofing the State: Risk, Responses and Resilience.’ Wellington, 26 July, 2012.

*Building and Maintaining Trust in Public Institutions*. Chapter 8 in ‘Future-Proofing the State.’ Edited by J. Boston, J. Wanna, V. Lipski and J. Pritchard. The Australia and New Zealand School of Government, ANU Press, 2014.

## **7 INTERNATIONAL POLICY COOPERATION**

*APEC Information Clearing House Feasibility Study* April - May 1999  
Completion of a feasibility study for the NZ Ministry of Foreign Affairs on the establishment of a web-based information clearing house to facilitate economic and technical cooperation activities amongst APEC member countries. Project involved discussions with Philippine and Singaporean, ADB, and Australian officials. Presentation of findings to APEC officials meeting.

*The Management of Global Integration* March - June 2002  
Preparation for the NZ Department of Labour and a sub-group of departments with external activities, of a *de novo* framework for the analysis and management of global integration issues across government. Assignment completed with David Webber.

*PhD Thesis on International Policy Cooperation* 2005 - 2009  
Part-time research on the relationship between international economic integration and the depth of international policy cooperation, for completion of a PhD in Public Policy on this topic at Victoria University of Wellington in April 2009.

*The Blurring of the Domestic/International Boundary* March 2008  
A paper written for the Institute of Policy Studies at Victoria University on how the blurring of the domestic – international boundary, associated with globalization, is being reflected in NZ’s public management system, in terms of relations between individual government agencies in NZ and their counterpart agencies in Pacific Island Countries.

*Regional Fiscal Surveillance in the East African Community* April – August 2014  
An assignment for the IMF advising the East African Community on the design of a fiscal surveillance framework in the run up to the introduction of the East African Monetary Union in 2024, including issues of reporting of fiscal risks, fiscal transparency, regular fiscal reporting, and compliance and enforcement.

### **Publications, papers and presentations.**

*Market Failure, Moral Hazard and the Role of the International Monetary Fund: The Need for a Comparative Institutional Approach*, Unpublished draft, 1998.

*Economic integration, jurisdictional integration, and the autonomy of the nation state*, seminar presented at Victoria University of Wellington, 18/2/05.



*Sovereignty and the Governance of International Regulatory Coordination*, Presentation to a Symposium on “North American Regulatory Cooperation: A Results Agenda”, Policy Research Initiative, Ottawa, 8/12/05. Report on the Symposium available at [http://policyresearch.gc.ca/doclib/NAL/SR\\_NAL\\_reg%20Coop\\_200603\\_e.pdf](http://policyresearch.gc.ca/doclib/NAL/SR_NAL_reg%20Coop_200603_e.pdf)

*Jurisdictional Integration: How International Economic Integration is Changing State Sovereignty*, Full PhD Proposal, School of Government, Victoria University of Wellington, 5 May 2006.

*Jurisdictional Integration: How International Economic Integration is Changing State Sovereignty*, University of Trento Summer School on Global and European Governance, Italy, August 2006.

*Measuring and predicting levels of international policy cooperation: a general framework applied to competition policy*. Presentation to School of Government, Victoria University of Wellington, 7 September, 2007.

*Measuring and predicting levels of policy cooperation in RTAs: a general framework applied to competition policy, presentation to a Roundtable on Regional Trade Agreements*, McGill University, Montreal, 1 December, 2007.

*Jurisdictional Integration: How Economic Globalisation is Changing State Sovereignty*. PhD thesis, Victoria University of Wellington. April 2009. Available at <http://researcharchive.vuw.ac.nz/bitstream/handle/10063/893/thesis.pdf?sequence=1>

*Measuring and predicting the depth of international regulatory cooperation in competition policy*. Paper presented to the Fourth Biennial Conference of the European Consortium for Political Research, Standing Group for Regulatory Governance, ‘New Perspectives on Regulation, Governance and Learning.’ University of Exeter, 28 June, 2012. Paper was awarded an honorable mention in the 3rd Giandomenico Majone Prize for best conference paper: [http://regulation.upf.edu/index.php?id=giandomenico\\_majone\\_prize](http://regulation.upf.edu/index.php?id=giandomenico_majone_prize)

*Jurisdictional Integration: A Framework for Measuring and Predicting the Depth of International Regulatory Cooperation in Competition Policy*. Forthcoming in the Journal *Regulation and Governance*. Early view: <http://onlinelibrary.wiley.com/doi/10.1111/rego.12069/abstract>

*Study on the Design of a Fiscal Surveillance Program*. Presentations given to officials from East African Community partner states in Bujumbura, Burundi (4 July, 2014) and Arusha, Tanzania (25 July, 2014).

*Study on the Design of a Fiscal Surveillance Program*. Final Report, 2 August 2014, for the East African Community and the IMF.

*An international initiative on fiscal transparency, participation and accountability*. Presentation to GIFT-OGP Fiscal Openness Working Group, Mexico City, 29 October, 2015.